

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Frank E. Joutras, et al. )	Patent Application
Serial No:	09/379,851	Group Art Unit: 3764
Filed :	August 24, 1999 )	Examiner: Denise Pothier
For :	EXERCISE APPARATUS ) AND TECHNIQUE	Date: November 21, 2001

# PETITION TO WITHDRAW REQUIREMENT FOR RESTRICTION

Commissioner for Patents Washington, D.C. 20231

Sir:

The applicant hereby petitions the Commissioner to withdraw the requirement for restriction between inventions for the reasons stated below:

#### BACKGROUND

This application was filed on August 24, 1999, and was assigned serial no. 09/379,851. A nonfinal Office action was mailed October 11, 2000, requiring applicant to elect a single species from claims 1-7. Applicant responded in an amendment dated January 3, 2001, amending claims 2-4, adding new claims 8-24 to better define the invention, and provisionally electing with traverse the invention of claims 1-8 and the claims directed to species D, claims 1-4.

A nonfinal Office action was mailed March 27, 2001, requiring restriction to one of the inventions of claims 1-24. The applicant responded in an election dated April 24, 2001, by provisionally electing with traverse the invention of claims 1-12, drawn to an orthotic apparatus and requesting the election of invention be withdrawn.

A nonfinal Office action was mailed July 12, 2001, making the restriction requirement final, withdrawing claims 13-24 from consideration, and rejecting claims 1-12. The applicant responded in an amendment dated October 12, 2001, by amending claims 5 and 6 and requesting reconsideration of the restriction requirement.

#### **ARGUMENT**

Claims 13-24 should not have been withheld based on being directed to a non-elected invention because they are not independent and distinct from claims 1-12. Method claims 13-24 cannot be practiced with an apparatus other than the orthotic apparatus of claim 1, which recites a joint. Claims 13-19 describe a method of reducing arthrokinetic dysfunction using the apparatus of claims 1-12 and claims 20-24 describe a method of aiding a person in physical activity, using the apparatus of claims 1-12. The methods and apparatuses are both used for the same purpose and indeed, the methods use the claimed apparatus.

The method of claims 13-19 for reducing arthrokinetic dysfunction can only be practiced using the apparatus for reducing arthrokinetic dysfunction of claims 1-12.

Arthrokinetic dysfunction, as defined on page 11 of the specification "means that ordinary

movement of body portions about a joint result in symptomatic events such as pain and/or inflammation and/or movement in a direction at an angle to the desired movement." Thus, in providing controlled exercise as therapy for a patient who's symptoms result from movement of one of their limbs about a joint, the exercise apparatus used must contain a jointed limb brace which can be attached to the patient. Without a jointed brace, the patient would be restricted in movement of their limb since their limb is moved by the flexing of their muscles which in turn, flexes a joint. For example, in order for a patient to move their arm, they must flex the muscles in their arm which causes their elbow to bend. A brace without a joint would not permit the bending which results from the flexing of a joint as a result of moving a muscle. Accordingly, if a patient did not use an exercise apparatus including a jointed limb brace, the method of claims 13-19 of reducing arthrokinetic dysfunction could not be practiced.

The method of claims 20-24 of aiding a person in physical activity with weakened or damaged muscle can only be practiced using the apparatus of claims 1-12. In aiding a person with weakened muscle in physical activity, the apparatus used must contain a jointed limb brace which can be attached to the patient. The jointed brace allows for the bending or flexing of a joint which occurs when the patient moves the limb that has weakened or damaged muscle.

In the same manner a person is unable to move a muscle without a joint, the methods described in claims 13-24 can not be practiced without the jointed apparatus of claim 1. Accordingly, the decision to restrict claims 13-24 based on being directed to a non-elected invention should be withdrawn.

## **REQUEST**

It is respectfully requested that the Commissioner grant this petition and withdraw the restriction between inventions and allow claims 13-24 to be examined in this application.

Respectfully submitted,

Vincent L. Carney

Attorney for the Applicant

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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/379,851	
Filing Date	08/24/1999	
First Named Inventor	Frank E. Joutras	
Group Art Unit	3764	
Examiner Name	Denise Pothier	
Attorney Docket Number	558-9-13-1	

Total Number of	of Pages in This Subm	ission /	Attorney Docket N	lumber 5	58-9-13-1		
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Application Number	09/379,851		
Filing Date	08/24/1999		
First Named Inventor	Frank E. Joutras		
Examiner Name	Denise Pothier		
Group Art Unit	3764		
Attorney Docket No.	558-9-13-1		

WETHOD OF PATMENT	FEE CALCULATION (continued)	
1. The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES	
indicated fees and credit any overpayments to:  Deposit	Large Small	
Account Number 03-0778	Entity Entity Fee Fee Fee Fee Fae Description	F - 0-14
	Code (\$) Code (\$)	Fee Paid
Account Name Vincent L. Carney	105 130 205 65 Surcharge - late filing fee or oath	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status.	139 130 139 130 Non-English specification	
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination	
2. Payment Enclosed:  Check Credit card Money Order Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113 1,840° 113 1,840° Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	
Large Entity Small Entity	116 400 216 200 Extension for reply within second month	_ 4
Fee Fee Fee Fee Description	117 920 217 430 Extension for reply within third month	2
Code (\$) Code (\$) Fee PaiG  101 740 201 370 Utility filing fee		
106 330 206 165 Design filling fee	118 1,440 218 720 Extension for reply within fourth month 128 1,960 228 980 Extension for reply within fifth month 119 320 219 160 Notice of Appeal	7
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal	28
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal	<b>8</b> U
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing 🗼 🔘	
	138 1,510 138 1,510 Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00	140 110 240 55 Petition to revive - unavoidable	
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional	
Fee from Ext <u>ra Claims below Fee Paid</u>	142 1,280 242 640 Utility issue fee (or reissue)	
Total Claims20** = X =	143 460 243 230 Design issue fee	
Independent - 3** = X =	144 620 244 310 Plant issue fee	
Multiple Dependent =	122 130 122 130 Petitions to the Commissioner	130.00
	123 50 123 50 Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt	
Code (\$) Code (\$)  103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
over original patent  110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)	
and over original patent	169 900 169 900 Request for expedited examination	
	of a design application	
SUBTOTAL (2) (\$) 0.00	Other fee (specify)	
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 13	30.00

SUBMITTED BY Complete (if applicable) Registration No. (Attorney/Agent) Telephone Name (Print/Type) Vincent L. Carney 20,688 (402) 465-8808 11/21/2001 Carne Signature

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